

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No. : 10/525,279
 Applicant : Guann-Pyng Li, et al.
 Filed : 12/27/2005
 TC/A.U. : 2856
 Examiner : Miller, Rose Mary
 Confirmation No. : 7778
 Docket No. : UCIVN-021US
 Customer No. : 33197
 Title : Optical Waveguide Vibration Sensor For Use in Hearing Aid

Transmittal Letter
Information Disclosure Statement

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Dear Sir:

1. ☒ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).
2. ☐ The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either:
 - (1) a final action under § 1.113 or
 - (2) a notice of allowance under §1.311, whichever occurs first.

CERTIFICATION OR FEE

A. Included with this transmittal is

- i. ☐ a certification (set forth below) in accordance with 37 C.F.R. § 1.97(e). (If for any reason the certificate set forth below should be unsatisfactory, the Commissioner is provisionally authorized to charge the \$210 fee (37 C.F.R. § 1.17(p)) to Deposit Account No. 50-0878. A duplicate copy of this sheet is enclosed.)

OR

- ii. ☐ the attached fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00).

3. ☐ The information disclosure statement transmitted herewith is being filed *after* a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before, or simultaneously with the payment of the issue fee (37 C.F.R. § 1.97 (d)); and
- ☐ Set forth below is a certification as specified in 37 C.F.R. § 1.97(e).
- ☐ This information disclosure statement is being filed along with a Request for Continued Examination and fee required under 37 C.F.R. § 1.17(e).

CERTIFICATION

(Required if 2Ai or 3 above is marked)

4. I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. § 1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. § 1.97(e)(2).
5. ☒ It is believed that no fee is due in connection with this filing, however if it should be determined that for any reason, either an insufficient fee or an excessive fee has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-0878.

Respectfully submitted,

STOUT, UXA, BUYAN & MULLINS, LLP

Date: June 18, 2008

/Robert D. Buyan/

Robert D. Buyan, Reg. No. 32,460

4 Venture, Suite 300
Irvine, CA 92618
Telephone: (949) 450-1750
Facsimile: (949) 450-1764
Email: rbuyan@patlawyers.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No. : 10/525,279
Applicant : Guann-Pyng Li, et al.
Filed : 12/27/2005
TC/A.U. : 2856
Examiner : Miller, Rose Mary
Confirmation No. : 7778
Docket No. : UCIVN-021US
Customer No. : 33197
Title : Optical Waveguide Vibration Sensor For Use in Hearing Aid

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR SECTION 1.97(c)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR Section 1.97(c) (as amended on March 16, 1992) the following Information Disclosure Statement is submitted as listed on Forms PTO/SB/08A and/or PTO/SB/08B enclosed herewith. Copies of all cited references other than United States patents and published United States applications are attached hereto for the Examiner's review.

No representation is made that the reference(s) disclosed herein legally constitute prior art or that more relevant references are not available. The disclosure document(s) enclosed herewith and listed on the attached Forms PTO/SB/08A and/or PTO/SB/08B are printed in the English language and/or accompanied by an Abstract published in the English language.

The reference(s) listed herein, when taken alone or in combination, are not believed to disclose nor make obvious the invention as claimed in the subject application.

As this Information Disclosure Statement is being submitted after three (3) months from the filing date of the application but before the mailing of a first Office Action on the merits, it is believed that no fee is required. However, in the event that any fee is properly deemed to be due in connection with this filing, the Commissioner is authorized to deduct such fee from Deposit Account No.50-0878.

Respectfully submitted,

STOUT, UXA, BUYAN & MULLINS, LLP

Date: June 18, 2008

/Robert D. Buyan/
Robert D. Buyan, Reg. No. 32,460

4 Venture, Suite 300
Irvine, California 92618
Telephone: (949) 450-1750
Facsimile: (949) 450-1764
Email: rbuyan@patlawyers.com